



05909 U.S. PTO

By Express Mail #EV364781081US April 1, 2004

Attorney Docket No.: 5106-7CIP2

Check box if applicable:
☐ DUPLICATE

19270 U.S. PTO
10/816365



UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Mail Stop **Patent Application**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

THIS APPLICATION IS A ☐ CONTINUATION ☐ DIVISIONAL ☒ CONTINUATION-IN-PART OF U.S. PATENT APPLICATION SERIAL NO. 09/996,837 WHICH WAS FILED November 29, 2001.

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Chi ENG, Michael LEMBERG, Alexander MASHINSKY

For: Method and a System For Settlement of Trading Accounts

Enclosed are:

- Transmittal letter with Fee Computation Sheet
- General Authorization For Payment of Fees
- Title Page, Specification, Claims & Abstract (75 pages)
- Unexecuted Declaration and Power of Attorney (4 p.)
- 19 sheet(s) of drawing(s) (Figs. 1 to 15)
- Check for \$493.00 for filing fee
- Small Entity Status is being claimed for this application.
- Return Receipt Postcard

This application is being assigned to: **Arbinet-thexchange**

☐ Please charge my Deposit Account No. 03-2412 in the amount of \$. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.

☒ Any additional filing fees required under 37 CFR 1.16 not otherwise paid by check.

☒ Any patent appl. processing fees under 37 CFR 1.17

☒ The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.

☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

☐ **Incorporation By Reference** (useable if submitting copy of declaration from prior application -- for continuation/divisional applications only):

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Amend the specification by inserting before the first line the sentence (entire genealogy should be set forth):

"This is a continuation-in-part of application Serial No. 09/996,837, filed November 29, 2001 which is a ."


☐ Priority is claimed for this invention and application, corresponding applications having been filed in USA on November 29, 2000, No. 60/253,887, USA on October 18, 2000, No. 09/692,769, USA on September 11, 1997, No. 08/927,443, USA on August 29, 1997, No. 08/920,567, respectively.

☐ a. Certified copies of the priority document(s) is (are) already of record in U.S. Application Serial No. 09/996,837, filed November 29, 2001, receipt of which has been acknowledged by the US PTO on in Paper No. .

☐ b. The certified priority document(s) is (are) enclosed herewith for filing in this continuing application.

- ☐ The undersigned declares that the copy of the application papers (Specification, Claims, Abstract, Declaration and Power Of Attorney, and drawings and) filed herewith are true copies of those originally filed in the U.S. Patent Office for Application Serial No. 09/996,837.
- ☒ The undersigned declares that all statements made herein of his or her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: 
Alfred W. Froebrich, Reg. No. 38,887
551 Fifth Avenue, Suite 1210
New York, New York 10176
Tel. (212) 687-2770

Dated: April 1, 2004

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FILING FEE COMPUTATION SHEET

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P.O. Box 1450
Alexandria, VA 22313-1450

In re Application of: Chi ENG et al.
For: Method and a System For Settlement of Trading Accounts
Parent Serial No.: 09/996,837

The filing fee has been calculated as shown below:

FOR:	Col. 1	Col. 2	SMALL ENTITY	OTHER THAN SMALL ENTITY
	# FILED	# EXTRA		
BASIC FEE			\$385	\$770
TOTAL CLAIMS	<u>32</u> - 20 =	<u>12</u>	x 9 = \$108	x 18 = \$
INDEPENDENT CLAIMS	<u>2</u> - 3 =	<u>0</u>	x 43 = \$	x 86 = \$
<input type="checkbox"/> MULTIPLE DEPENDENCY			+\$145 = \$	+290 \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL: \$ 493	\$

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re CIP Application of

Chi ENG et al.

Parent Serial No.: 09/996,837

Parent Filed: November 29, 2001

For: Method and a System For
Settlement of Trading Accounts

Check box if applicable:

☐ DUPLICATE

GENERAL AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME

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P.O. Box 1450

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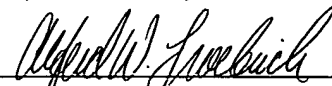
Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 03-2412

- [X] Any filing fees required under 37 CFR §1.16.
- [X] Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- [X] The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

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